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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,710	02/05/2002	Frank Q. Li	3781-001-27

Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDNICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412



CONFIRMATION NO. 3114

FORMALITIES LETTER



\*OC00000008408625\*

Date Mailed: 07/05/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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A copy of this notice **MUST** be returned with the reply.

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DOCKET NO.: 3781-001-27

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Serial No.: 10/062,710  
Applicant(s): Frank Q. LI et al.  
Filing Date: February 5, 2002  
For: POLYMERIC CONJUGATES FOR DELIVERY OF MHC-  
RECOGNIZED EPITOPES VIA PEPTIDE VACCINES  
Group Art Unit: 1644  
Examiner: Unassigned

SIR:

Attached hereto for filing are the following papers:

**FEE TRANSMITTAL  
REQUEST FOR EXTENSION OF TIME (ONE (1) MONTH)  
NOTICE TO COMPLY (RETURN COPY)  
RESPONSE TO NOTICE TO COMPLY AND PRELIMINARY  
AMENDMENT, INCLUDING ATTACHMENT (1)  
STATEMENT UNDER 37 CFR 1.821-1.825  
SEQUENCE LISTING (PAPER COPY, 54 PAGES)  
SEQUENCE LISTING (DISKETTE)**

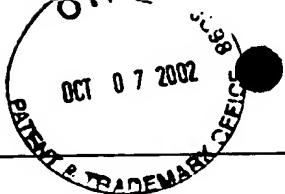
Our check in the amount of \$55.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

  
Steven B. Kelber  
Registration No.: 30,073  
Attorney of Record

Patrick R. Delaney  
Registration No. 45,338



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## **FEE TRANSMITTAL**

<b>FEE TRANSMITTAL</b>								Docket No.	3781-001-27						
								Serial No.	10/062,710						
								Filing Date	February 5, 2002						
								Inventor(s)	Frank Q. LI et al.						
								Group Art Unit	1644						
<b>TOTAL AMOUNT OF PAYMENT</b>				\$55.00		Examiner		Unassigned							
<p>1. <input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p><input checked="" type="checkbox"/> Charge any <b>UNDERPAYMENT</b> or credit any <b>OVERPAYMENT</b> in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p>								<b>FEE CALCULATION (continued)</b>							
								<b>3. ADDITIONAL FEES</b>							
								Large Entity		Small Entity		Fee Description			
<p>2. <input checked="" type="checkbox"/> Check enclosed.</p> <p><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p>								Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid			
								1051	130	2051	65	Surcharge-late filing fee or oath			
								1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet			
Large Entity		Small Entity		Fee Description				1053	130	1053	130	Non-English specification			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	1812	2520	1812	2520	Ex parte reexam. fee		
1001	740	2001	370	Utility filing fee					1251	110	2251	55	1-mo. ext. of time		
1002	330	2002	165	Design filing fee					1252	400	2252	200	2-mo. ext. of time		
1003	510	2003	255	Plant filing fee					1253	920	2253	460	3-mo. ext. of time		
1004	740	2004	370	Reissue filing fee					1254	1440	2254	720	4-mo. ext. of time		
1005	160	2005	80	Provisional filing fee					1255	1960	2255	980	5-mo. ext. of time		
<b>SUBTOTAL (1)</b>								\$0.00	1401	320	2401	160	Notice of Appeal		
<b>2. EXTRA CLAIM FEES</b>									1402	320	2402	160	Appeal Brief		
tot. claims		-	20*	=	0	x	\$9	=	0	1403	280	2403	140	Request for Oral Hearing	
ind. claims		-	3*	=	0	x	\$42	=	0	1501	1280	2501	640	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims						\$140	=		1502	460	2502	230	Design Issue Fee		
Large Entity		Small Entity		Fee Description					1503	620	2503	310	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)						1460	130	1460	130	Petitions to the Commissioner		
1202	18	2202	9	Claims in excess of 20					1806	180	1806	180	IDS Submission		
1201	84	2201	42	Independent claims in excess of 3					8021	40	8021	40	Assignment		
1203	280	2203	140	Multiple dependent claim, if not paid					1801	740	2801	370	For Filing RCE		
1204	84	2204	42	*Reissue independent claims over original patent					1802	900	1802	900	Expedited Design		
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):							
<b>SUBTOTAL (2)</b>								\$0.00							
<b>SUBTOTAL (3)</b>													\$55.00		
* or number previously paid, if greater; For Reissues, see above															

\* or number previously paid, if greater: For Reissues, see above

Name	Steven B. Kelber 	Registration No.	30,073
Signature		Date	October 7, 2002
Name	Patrick R. Delaney	Registration No.	45,338